



Coverdell ESA Withdrawal Request

GENERAL INSTRUCTIONS

Use this form for all Pacific Premier Trust Coverdell Education Savings Account (ESA) withdrawals. This form must be completed by the Responsible Individual who wishes to request a withdrawal from an ESA to the appointed Designated Beneficiary.

Important: It is the Responsible Individual's responsibility to complete and submit IRS Form 8606 (Nondeductible IRAs and Coverdell ESAs) to the Internal Revenue Service.

If you need to sell securities to complete a cash disbursement, please do so before submitting this withdrawal form.

Complete, sign, and date this form using blue or black ink and return it to Pacific Premier Trust using delivery instructions on bottom of page 3.

* Indicates required information

1. CURRENT DESIGNATED BENEFICIARY INFORMATION OR DEATH BENEFICIARY INFORMATION

NAME (FIRST, MI, LAST)*

SSN*

DATE OF BIRTH*

ACCOUNT NO.*

MAILING ADDRESS*

CITY*

STATE/PROVINCE*

COUNTY*

POSTAL CODE*

New Address – Update Address on File

EMAIL ADDRESS*

DAYTIME PHONE*

2. CURRENT RESPONSIBLE INDIVIDUAL INFORMATION

NAME (FIRST, MI, LAST)*

SSN*

DATE OF BIRTH*

ACCOUNT NO.*

Relationship: Parent Guardian Designated Beneficiary

MAILING ADDRESS*

CITY*

STATE/PROVINCE*

COUNTY*

POSTAL CODE*

New Address – Update Address on File

EMAIL ADDRESS*

DAYTIME PHONE*



3. REASON FOR WITHDRAWAL

See IRS Publication 970, Chapter 5 for a detailed explanation of Qualified and Non-Qualified Education Expenses. For direct rollovers, rollover paperwork from your receiving custodian is needed which includes your signature, a signed letter of acceptance from the receiving custodian and a medallion signature guarantee stamp or corporate resolution document to verify the authorizing signature from your receiving custodian.

- Qualified Distribution
- Non-Qualified Distribution
- Direct Rollover to a new Custodian/Trustee
- Distribution Due to Death
- Distribution Due to Disability
- Excess Contribution Correction

4. WITHDRAWAL AMOUNT

Pacific Premier Trust can only liquidate certificates of deposit and annuities. You will need to contact your financial representative or the asset sponsor for instructions to liquidate broker held assets. For requests to liquidate other market traded securities, please submit your trade request on PacificPremierTrust.com or contact the Equity Trading Line at 855.453.4961.

- Partial Cash Request - Send \$ _____
- Partial Request - Send available cash; my account remains open.
- Partial Asset Request – Send the assets noted below.
- Full Request – Send all cash and/or assets and close my account.

<input type="checkbox"/> No. of Shares, or <input type="checkbox"/> Dollar Amount	Asset ID	Asset Name

5. CASH DELIVERY METHOD

This form cannot be used to set up ACH or Wire Instructions. For checks, indicate whether overnight delivery is preferred. If overnight delivery is selected, the mailing address must be a street address; overnight delivery is not available to PO boxes. See your Pacific Premier Trust account Fee Schedule for overnight delivery and wire transfer fees.

Please select the appropriate box to let us know where to send your disbursement. If no box is checked, a check will be mailed to your address of record by default.

- Send the distribution by check to my mailing address of record by: Regular Mail OR Overnight Delivery (see your Pacific Premier Trust account Fee Schedule. Overnight delivery not available for PO Boxes.)
 - Send the funds using bank or credit union ACH/Wire instructions already in place on the account. Provide bank information ONLY if there are multiple ACH/Wire instructions on the account.
 - ACH (Electronic Transfer) Wire
 - Checking Savings
- BANK ACCOUNT NO.

6. EXCESS CONTRIBUTION CORRECTION

Complete this section of the form only if the withdrawal is made to correct an excess contribution to this account.

Year of excess 20 ____ Date of contribution: ____ / ____ / ____ Excess Amount \$ _____

- It is BEFORE June 1st of the following year for which the contribution was made:
Return the excess contribution amount of \$ _____ plus earnings.
- It is AFTER May 31st of the following year from which the contribution was made:
Return the excess amount of \$ _____ without earnings.



7. FEES

If your request will result in a full account closure, all applicable fees will be deducted from your account prior to sending payment to you. If there is insufficient cash to cover the fees, a fee invoice will be sent to you.

8. RESPONSIBLE INDIVIDUAL SIGNATURE

I hereby acknowledge that I have read, understand and agree to all of the provisions in the Instructions, Definitions & General Information of this Coverdell ESA Withdrawal Request form and, if applicable, the Terms & Conditions of ACH Authorization. I understand that the requested disbursement will be subjected to fees and that all applicable fees will be deducted from available cash prior to sending payment. If cash is insufficient to cover my disbursement and fees, I understand that this request may be delayed or cancelled.

	RESPONSIBLE INDIVIDUAL SIGNATURE - DIGITAL E-SIGNATURES NOT ACCEPTED	DATE

NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Upload forms to:
PacificPremierTrust.com/upload
Fax to: 303.614.7096

Send mail to:
Pacific Premier Trust
Processing Center
PO BOX 981012
Boston, MA 02298

For express deliveries:
FIS-Remittance Processing
Loading Dock #2
Attn: Pacific Premier Trust
10 Dan Road
Canton, MA 02021

Questions?
Call 800.962.4238





Instructions, Definitions & General Information

Education Savings Account (ESA) withdrawals are reported to the Internal Revenue Service on Form 1099-Q. Please consult your tax advisor or IRS Publication 970 for more information regarding your withdrawal. It is the Responsible Individual's responsibility to complete and submit IRS Form 8606 (Nondeductible IRAs and Coverdell ESAs) to the Internal Revenue Service.

1. Current Designated Beneficiary Information or Death Beneficiary Information

If you are taking a withdrawal due to the death of the current designated beneficiary, you may complete this section with the information of the death beneficiary.

2. Current Responsible Individual Information

If information you provide on this withdrawal form does not match Pacific Premier Trust's records or fields are left blank, your withdrawal request may be delayed or cancelled.

3. Reason for Withdrawal

For more detailed information on tax reporting of ESA withdrawals, consult your tax advisor or IRS Publication 970.

4. Withdrawal Amount

Unless otherwise specified, any noncash asset will be distributed "in-kind." If Pacific Premier Trust cannot facilitate in-kind distribution of any asset, your withdrawal request may be delayed or cancelled.

Traditional Assets

Trade requests for market traded securities held by Pacific Premier Trust can be placed on PacificPremierTrust.com or on the Equity Trading Line, 855.453.4691. It is your responsibility to contact your Financial Representative to request liquidation of broker-held assets (such as mutual funds, stocks, bonds, etc.).

Alternative Assets

It is your responsibility to arrange the terms of the sale of any alternative asset(s). The sale of alternative asset(s), such as limited partnerships, private stock, deeds of trust, etc., may require the completion of a Pacific Premier Trust Secondary Market Investment Authorization form. Please contact your Financial Representative or Pacific Premier Trust's Client Services team if you have questions about how to liquidate an asset. Please ensure these assets are liquidated prior to the submission of your withdrawal request.

Asset Re-Registrations

If you have elected to reregister assets to your personal ownership, additional paperwork with original signatures and Medallion Signature Guarantee may be required from the asset sponsor. The asset sponsor may require additional fees.

If you are reregistering Real Estate, the following documents are required: two copies of the proposed Deed or Assignment of Deed of Trust/ Mortgage with you listed as the new owner or lien holder. Some escrow or title companies will not accept the documents after the Account Owner signs them "Read & Approved"; please submit both a "Read & Approved" and a "Clean" copy to expedite the recording process.

5. Cash Delivery Method

Choose a payment method and complete the requested information. If no selection is made, we will send a check to your mailing address via regular U.S. mail.

Terms & Conditions of ACH Authorization

By electing an ACH transfer, your signature will constitute an acknowledgment that you have read and agree to the following:

I hereby authorize Pacific Premier Trust to effect payment for my ESA withdrawal by initiating credit entries to my account indicated at the financial institution named. I request such financial institution to accept any credit entries initiated by Pacific Premier Trust to such account and to credit the same account without responsibility for the correctness thereof. I understand that such amounts will be debited as withdrawals from my ESA account.

I recognize that after withdrawal from my ESA account for deposit to be made to such account can take up to three (3) banking days.

I understand that this authorization may be terminated (at no charge from Pacific Premier Trust) by me at any time by sending written notification to both my financial institution and to Pacific Premier Trust. I may direct Pacific Premier Trust not to credit my financial institution account, provided that such authorization is in writing and is received by Pacific Premier Trust not less than ten (10) calendar days prior to the initiation of the credit entry.

I agree to hold Pacific Premier Trust harmless from any consequences of acting in accordance with this authorization. I understand that Pacific Premier Trust is not liable for the failure of a credit entry to be accepted by my financial institution.

6. Excess Contribution Correction

The 6% excise tax on excess contributions does not apply to any excess contributions withdrawn before June 1 of the following year if the earnings on the excess are also withdrawn.

7. Fees

For full withdrawal requests that will close your ESA account, all applicable fees will be deducted from your account prior to sending payment to you. If there is insufficient cash to cover the fees as well as the requested withdrawal amount, the fees will be deducted from your available cash and/or payment preferences and the difference will be distributed to you. It is your responsibility to provide Pacific Premier Trust with asset instructions if cash is not sufficient to cover the withdrawal and/or applicable fees.

Please refer to your Fee Schedule or call our Client Services team for information regarding fee amounts.

8. Signature

Sign and date the form to acknowledge all provisions on this withdrawal request form. If the form is not signed, your withdrawal request will not be processed. If we determine additional verification is needed, we will attempt to contact you by phone at your phone number on file.

PLEASE SEND THE COMPLETED FORM TO PACIFIC PREMIER TRUST FOLLOWING THE INSTRUCTIONS ON PAGE 3. RETAIN A COPY OF THE COMPLETED FORM FOR YOUR RECORDS.

