



IRA Transfer Request Due to Divorce or Separation

NOTE: Use this form to request a full or partial transfer of an Account Owner's interest in an IRA to a spouse or from a spouse under a divorce or separation instrument described in subparagraph (A) of the Internal Revenue Code sections 408(d)(6) and 71(b)(2).

- If the IRA is a Traditional IRA, the transfer can only be made to another Traditional IRA.
• If the IRA is a Roth IRA, the transfer can only be made to another Roth IRA.

All information and required signatures must be submitted on one form. Please DO NOT send a copy of a divorce or separation instrument or QDRO to Pacific Premier Trust.

- The completed form must be signed by the Account Owner and the Account Owner's spouse or former spouse.
• Each signature must have its own notarization before a transfer can be made.
• Any questions concerning the dollar amount, assets, or portions of assets to be transferred must be determined by the Account Owner and the Account Owner's spouse or former spouse. Pacific Premier Trust cannot mediate these issues.
• If there is a need to change the beneficiary designation on the IRA, please contact Pacific Premier Trust client services to request the appropriate form.

1. ACCOUNT OWNER INFORMATION

Form fields for Account Owner Information: NAME (FIRST, MI, LAST), PACIFIC PREMIER TRUST ACCOUNT NO., LAST 4 DIGITS OF SSN, DATE OF BIRTH, PRIMARY PHONE, EMAIL ADDRESS, NAME OF FINANCIAL REPRESENTATIVE (IF APPLICABLE), PHONE NO.

IRA Type (choose one): [] Traditional [] Roth

2. SPOUSE OR FORMER SPOUSE INFORMATION

Form fields for Spouse or Former Spouse Information: NAME (FIRST, MI, LAST), PACIFIC PREMIER TRUST ACCOUNT NO., LAST 4 DIGITS OF SSN, DATE OF BIRTH, PRIMARY PHONE, EMAIL ADDRESS, NAME OF FINANCIAL REPRESENTATIVE (IF APPLICABLE), PHONE NO.

3. TRANSFER INSTRUCTIONS

Transfer the cash, assets, or portions of assets as indicated in Section 4.

The spouse's or former spouse's (choose one):

- [] To Pacific Premier Trust Account No.: _____
[] New Pacific Premier Trust Account: the appropriate application is attached.
[] IRA Account at Successor Trustee/Custodian; Account No.: _____
(The successor trustee's/custodian's transfer instructions and sign acceptance of the requested transfer is attached.)



4. ASSET INSTRUCTIONS

Please specify how transfer or termination fees should be paid and by whom:

Account Owner Former Spouse

NOTE: If neither box is checked, the selection will default to the Account Owner.

Authorization is hereby given to Pacific Premier Trust to liquidate or transfer in-kind the assets indicated:

- It is the Account Owner’s responsibility to contact his/her Financial Representative to request liquidation of any broker-held assets (such as stocks or bonds) and to arrange the terms of sale of any non-standard assets (such as limited partnerships or private stock).
- The sale of non-standard assets may require the completion of a Pacific Premier Trust “Secondary Market Investment Authorization” form. The Account Owner understands that any applicable asset reregistration/transfer fees will be assessed for this transfer.
- If one or more of the assets to be transferred is a Mortgage Note (or similar investment), the Account Owner is responsible for ensure that Pacific Premier Trust receives all necessary documents to effect proper assignment of the asset.
- Please contact your Financial Representative or Pacific Premier Trust Client Services if you have questions about how to liquidate, sell or transfer an asset.

Full Transfer The delivering account will be closed, and all holdings will be transferred.

Partial Transfer Specify the assets and amounts to be transferred using the table below.

ASSET NAME (TO INCLUDE EXISTING CASH)	NUMBER OF SHARES OR \$ AMOUNT (BE SPECIFIC)	LIQUIDATE	TRANSFER IN-KIND
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

NOTE: Some transfer agents charge a fee for transferring assets. These are not Pacific Premier Trust fees, but must be paid before the registration is initiated

5. ACKNOWLEDGMENT & SIGNATURES

Please contact Pacific Premier Trust client services with questions about partial transfer or termination fees. If the requested transfer leaves a total IRA account value of \$200 or less, the account may be automatically closed, and termination fees will apply. If your account does not currently have a cash balance sufficient to cover these fees, and if you are not requesting the liquidation of assets where the proceeds will cover the fees, please include a check with your transfer request. If payment is not received and the cash balance is not sufficient to cover these fees, your transfer request will be returned to you and will not be processed. To determine how much cash is available and what fees are due, please contact client services.

We, the undersigned Account Owner and the Account Owner’s spouse or former spouse, hereby request the transfer of cash and/or assets to the IRA of the spouse or former spouse. The cash and/or asset amounts indicated on this form have been agreed to by both the Account Owner and the Account Owner’s spouse or former spouse and the amounts are in accordance with the divorce or separation instrument. We attest that the requested transfer is being made pursuant to Internal Revenue Code sections 408(d)(6) and 71(b)(2)(A) and meets all requirements of law and Pacific Premier Trust plan documents. **We agree to indemnify and hold Pacific Premier Trust harmless from and against any and all claims including, but not limited to, damages, court costs and legal fees resulting from reliance or action taken in reliance upon the information provided on this form as received by Pacific Premier Trust and that Pacific Premier Trust bears no responsibility for verifying the accuracy of the transfer instructions provided to it.**

 ACCOUNT OWNER SIGNATURE _____ DATE _____

 SPOUSE OR FORMER SPOUSE SIGNATURE _____ DATE _____



NOTARY ACKNOWLEDGMENT

State of _____; County of _____

On this _____ day of _____, 20____, before me, _____

a Notary Public in and for said County and State, personally appeared (Name): _____

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity on behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



My commission expires: _____ / _____ / _____

▶ | NOTARY PUBLIC SIGNATURE | DATE |

NOTARY ACKNOWLEDGMENT

State of _____; County of _____

On this _____ day of _____, 20____, before me, _____

a Notary Public in and for said County and State, personally appeared (Name): _____

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NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Upload forms to:
PacificPremierTrust.com/upload
Fax to: 303.614.7038

Send mail to:
Pacific Premier Trust
Processing Center
P.O. BOX 981012
Boston, MA 02298

For express deliveries:
FIS-Remittance Processing
Loading Dock #2
Attn: Pacific Premier Trust
10 Dan Road
Canton, MA 02021

Questions?
Call: 800.962.4238

