



Traditional/SEP/Roth IRA Application

IMPORTANT INFORMATION:

In order to help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each client who opens an account.

YOUR SIGNATURE AND DATE ARE REQUIRED ON PAGE 6.

Please complete, sign and return this application with your contribution and applicable fee payment. Be sure to keep a copy for your records. Please print or type. All fields must be completed. If not applicable, please indicate by printing "N/A" or "None" where appropriate.

1. PLAN INFORMATION

- Check the appropriate IRA type below.
If you are opening an INHERITED IRA, please complete SECTION 3 in addition to the rest of this application.

Traditional IRA Roth IRA Traditional Inherited IRA Roth Inherited IRA Simplified Employee Pension (SEP) IRA

2. ACCOUNT OWNER INFORMATION

- Please DO NOT complete this section for an Inherited IRA. Continue to section 3.

NAME (FIRST, MI, LAST) SOCIAL SECURITY NUMBER DATE OF BIRTH

CITIZENSHIP\*: U.S. Other (specify): GENDER: Female Male

If applicable, enter name of organization or individual referral, or promotion code:

\*Only U.S. Citizens, or other U.S. persons (including Resident Aliens) will be able to establish an account with Pacific Premier Trust.

3. INHERITED IRA INFORMATION

- Trust Certification Form is required for Trust Inherited IRAs.
Please include a copy of the death certificate for the deceased account owner.
Estate Accounts - Please complete Appointment of Attorney-in-Fact, Executor, Guardian or Conservator Form and provide original/certified copy of Letters Testamentary/Administration.

3A. DECEASED ACCOUNT OWNER INFORMATION

NAME (FIRST, MI, LAST) SOCIAL SECURITY NUMBER
DATE OF BIRTH DATE OF DEATH

3B. BENEFICIARY INFORMATION - The individual establishing this account

NAME (FIRST, MI, LAST) SOCIAL SECURITY NUMBER/EIN DATE OF BIRTH

CITIZENSHIP\*: U.S. Other (specify): GENDER: Female Male

\*Only U.S. Citizens, or other U.S. persons (including Resident Aliens) will be able to establish an account with Pacific Premier Trust.

Relationship to deceased account owner: Spouse Non-Spouse Trust Entity



#### 4. CONTACT INFORMATION

OCCUPATION (FOR ACCOUNT OWNERS, TRUSTEES OR EXECUTORS/ADMINISTRATORS)		EMPLOYER (LEAVE BLANK IF SELF-EMPLOYED)	
EMPLOYER ADDRESS			
CITY	COUNTY	STATE	POSTAL CODE

##### Source of Wealth

- Salary/Wages/Savings     Social security benefits     Sale of property or business     Family/relative inheritance  
 Investment capital gains     Other – Please provide detailed explanation \_\_\_\_\_

**NOTE:** If you are employed with a financial services firm you may be required to notify your Compliance department so they can gain access to your account if needed.

#### 4A. MAILING ADDRESS

- All fields in this section are required.

STREET ADDRESS (IF P.O. BOX, PROVIDE PHYSICAL ADDRESS BELOW)			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE
PRIMARY PHONE NO.	EMAIL ADDRESS		

#### 4B. LEGAL PHYSICAL ADDRESS

- Required if different from mailing address, or if P.O. Box is provided above as mailing address.

STREET ADDRESS			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE

**PLEASE BE AWARE THAT YOU WILL RECEIVE PRINTED QUARTERLY STATEMENTS BY MAIL.** You will be assessed a quarterly fee of \$10.00 for this service; this quarterly fee will be waived if you elect to receive your statements electronically. To waive this fee and receive electronic statements, you must first register your Pacific Premier Trust account online at [PacificPremierTrust.com/register](https://PacificPremierTrust.com/register); then, you must elect to receive electronic statements through your online account.

#### 5. INVESTMENT INFORMATION

Please select all of the investment types you plan to hold in your IRA. This information will help Pacific Premier Trust better serve the needs related to your investments. Selecting an asset type below is not intended as your instruction for a purchase or transfer, and we understand that your intentions may change over the life of your account. Your account investment options are not limited to your selection(s) below.

- Standard Assets** — includes Mutual Funds, Money Markets, Stocks/Bonds (including exchange-traded LPs and ADRs), REITs (exchange-traded), Annuities, Managed Accounts, Brokerage Accounts, Certificates of Deposit (CDs), Mortgage-Backed Securities, Warrants, Treasuries, Strips, Rights.
- Inherited IRA** — I am inheriting this PPT account and do not intend to make further investments. I intend to take a full distribution.

##### Alternative Assets

- Real Property     Secured Notes (i.e. Trust Deeds/Mortgages)     Unsecured Notes (i.e. Loans to a person or corporation)  
 Private Stock     Limited Partnerships/Limited Liability Companies     REITs (Non-exchange traded and private)  
 Offshore Funds     Tax Liens     Foreign Securities



**6. CHECK ENCLOSURE SUMMARY (CONTRIBUTION ALLOCATION)**

Your signed Application must be received by Pacific Premier Trust in its offices on or before tax-filing due date, with no extensions (generally April 15), in order for the IRA to be eligible to receive contributions for that tax year. Contribution checks must also be postmarked to Pacific Premier Trust on or before the Account Owner's tax-filing due date (with no extensions).

- Only complete this section **IF YOU ARE SUBMITTING YOUR APPLICATION BY MAIL AND ENCLOSING A PERSONAL CHECK.**

Rollover Contribution	\$ _____	Roth IRA Contribution for 20 ____	\$ _____
IRA Contribution for 20 ____	\$ _____	Roth IRA Contribution for 20 ____	\$ _____
IRA Contribution for 20 ____	\$ _____	<b>Total Enclosed</b>	\$ _____

**NOTE:** Make check payable to Pacific Premier Trust. Indicate the tax year for each "regular" IRA contribution in the memo section or on a separate sheet. If you are providing contributions for multiple accounts in one check, please be sure to provide detailed instructions about how funds are to be allocated.

**7. UNINVESTED CASH**

I hereby direct Pacific Premier Trust to deposit all undirected and uninvested cash from any source, including but not limited to contributions, transfers, proceeds from asset sales and income and distributions from assets held in the custodial account, into deposit accounts with a Federal Deposit Insurance Corporation ("FDIC") insured bank (which may include Pacific Premier Bank), at the discretion of Pacific Premier Trust. I also acknowledge that the deposits at each bank will be insured by the FDIC up to the federal deposit insurance limits (currently \$250,000) and that any amount in excess of the legal limit will not be insured by the FDIC.

I further understand and agree that my account may have a minimum cash requirement and that fees are applicable to accounts that fall below the required minimum, as outlined in the Fee Schedule. Check your Fee Schedule to confirm if this applies and for details.

**8. BENEFICIARY DESIGNATION**

**My beneficiaries and contingent beneficiaries (if applicable) are:**

- Listed in Section 9 and/or 10     Attached to this form

- You may designate as many beneficiaries as you wish; additional sheets may be attached, if needed, for contingent beneficiaries. Please provide the information requested for each beneficiary following the formats shown in Sections 9 and 10 (as applicable).
- If you are designating a Trust as a beneficiary please read and complete page 5 of this form - Trusts Named As a Beneficiary.
- **Providing Social Security Numbers for each beneficiary is required;** without them, Pacific Premier Trust will be unable to process distribution instructions in the event of an Account Owner's death.
- Important note about community property rights. If you live in a community property state and you are married, your spouse may have certain rights to your retirement account. You may wish to consult with your legal advisor(s) for guidance on community property rights.

I hereby designate the persons named herein as primary and contingent beneficiaries to receive my interest in this IRA according to the terms of the IRA Custodial Account Agreement, hereby revoking any such prior designations made by me.

I understand that, except as otherwise set forth in this IRA Beneficiary Designation Form or any attachment to this form, the terms of the IRA Custodial Account Agreement will govern with regard to the disposition of my account upon my death.

The total percentage of ownership for each level of beneficiary, both primary and contingent, must equal 100%. For example: if you are designating 3 beneficiaries with rights to equal portions of the account, the amount should reflect 33.33%, 33.33% and 33.34%. If your beneficiary designation request does not total 100%, Pacific Premier Trust will not be able to process the designation and will reach out for clarification.

**9. BENEFICIARY INFORMATION**

**9A. PRIMARY BENEFICIARY(IES)**

NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
SOCIAL SECURITY NO.		RELATIONSHIP	
LEGAL PHYSICAL ADDRESS			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE



NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
SOCIAL SECURITY NO.		RELATIONSHIP	
LEGAL PHYSICAL ADDRESS			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE

**9B. CONTINGENT BENEFICIARY(IES)**

NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
SOCIAL SECURITY NO.		RELATIONSHIP	
LEGAL PHYSICAL ADDRESS			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE

NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
SOCIAL SECURITY NO.		RELATIONSHIP	
LEGAL PHYSICAL ADDRESS			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE

CONTINUED ON THE NEXT PAGE.

NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.



## 10. TRUSTS NAMED AS A BENEFICIARY

Please read and complete this section if you are designating a Trust as a beneficiary. Please provide us with the information below on the current Trustee(s) of the Trust and the pages of the trust agreement described below:

### TRUST AGREEMENT

Along with this form, you must also include a copy of the first and signature pages of the trust agreement.

Please **DO NOT** forward a full copy of the trust agreement and other trust documents unless specifically requested to do so. Pacific Premier Trust in its role as account custodian cannot and will not interpret the terms of the trust agreement or other trust documents. If such an interpretation is required, the trustee(s) must obtain a legal opinion of the trust agreement's terms. The custodian will rely solely on the direction of the trustee(s) as to the terms of the trust agreement and other trust documents.

I am both account owner and Trustee of the Beneficiary Trust.

### TRUSTEE INFORMATION

- Please attach additional pages if necessary.

\*This is a required field.

TRUSTEE #1 NAME (FIRST, MI, LAST)*	SSN*	DATE OF BIRTH*	
MAILING ADDRESS*			
CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*
LEGAL PHYSICAL ADDRESS*			
CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*
EMAIL		PHONE NO.	

Sole Trustee    Co-Trustee

TRUSTEE #2 NAME (FIRST, MI, LAST)*	SSN*	DATE OF BIRTH*	
MAILING ADDRESS*			
CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*
LEGAL PHYSICAL ADDRESS*			
CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*
EMAIL		PHONE NO.	

Sole Trustee    Co-Trustee

## 11. ACKNOWLEDGMENT & SIGNATURE

I, the undersigned Participant (Account Owner) or Beneficiary in the case of an Inherited IRA, hereby establish an Individual Retirement Account (IRA) under the IRA Custodial Account Agreement, which is incorporated within this application by this reference. I designate Pacific Premier Trust, a division of Pacific Premier Bank as Custodian of this IRA and make the following declarations.

I have read, understand and agree to all of the terms as set forth in the IRA Application, IRA Custodial Account Agreement, Custodial Agreement Terms and Conditions Addendum and the IRA Disclosure Statement (collectively, "Plan Documents") and I have retained the Plan Documents, including a copy of this completed Application. I further specifically acknowledge that I have read, understand and agree to the Arbitration Statement that is part of the Plan Documents, and the Fee Schedule that is available at the Pacific Premier Trust website ([www.PacificPremierTrust.com](http://www.PacificPremierTrust.com)) and that Pacific Premier Trust provided me with this document. I acknowledge that a minimum cash requirement may apply to my Account, as stated in the Fee Schedule. If this requirement is not met, I understand additional fees may apply. I also understand that fees may not be prorated upon establishment or termination, and I also consent to have my conversations with Pacific Premier Trust recorded.

Pacific Premier Trust performs the duties of a directed custodian of assets for self-directed individual and business retirement accounts as well as other custodial accounts, and does not provide investment advice, sell investments or offer any tax or legal advice. Clients or potential clients are advised to perform their own due diligence in choosing any investment opportunity as well as selecting any professional to assist them with an investment opportunity.

	ACCOUNT OWNER SIGNATURE	DATE
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## 12. ADDITIONAL STEPS REQUIRED TO SETUP YOUR ACCOUNT

When the plan has been accepted by Pacific Premier Trust, the Account Owner will be sent an account establishment confirmation letter showing the account number and account information. Trading may be delayed until a Pacific Premier Trust account number has been assigned and the account has been funded by the Account Owner.

Once you receive your Pacific Premier Trust account number, you can register for online access. To do so, visit [PacificPremierTrust.com/register](http://PacificPremierTrust.com/register), and follow the on screen prompts.

Please refer to your IRA Custodial Agreement: Terms and Conditions Addendum for more information on Billing & Fee Collection.

**TO DESIGNATE AN INTERESTED PARTY OR FINANCIAL REPRESENTATIVE,  
PLEASE COMPLETE AND RETURN THE FOLLOWING AFFILIATED PARTY  
AUTHORIZATION PAGES TO PACIFIC PREMIER TRUST.**

**NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.**

Upload forms to:  
[PacificPremierTrust.com/upload](http://PacificPremierTrust.com/upload)

Fax to: 303.614.7038

Send mail to:  
Pacific Premier Trust  
Processing Center  
PO BOX 981012  
Boston, MA 02298

For express deliveries:  
FIS-Remittance Processing  
Loading Dock #2  
Attn: Pacific Premier Trust  
10 Dan Road  
Canton, MA 02021

Questions?  
Call 800.962.4238