



## Subscription Agreement Requirements for Self-Directed IRA Investments

Use this list as a resource when filing subscription agreements and other documents related to private equity investment on behalf of your Pacific Premier Trust IRA.

### INVESTOR/SUBSCRIBER NAME

The investor/subscriber name should be written as:

- **Individual Accounts:**  
Pacific Premier Trust, Custodian FBO (Client Name) IRA
- **Solo 401(k) Accounts:**  
Pacific Premier Trust, Custodian FBO (Plan Name)

### INVESTOR QUESTIONNAIRE

Not all subscription agreements contain an investor questionnaire; if your subscription agreement contains one, the investor will need to answer this questionnaire in its entirety and submit it alongside supporting documentation.

### TAX IDENTIFICATION NUMBER (TAX ID)

Since your IRA is buying into a private equity investment, the Tax ID you include will be used anywhere the subscription agreement asks for a Social Security number. A defined benefit plan would use the plan's Tax ID. Use one of the following:

- **Pacific PremierTrustTax ID:** 95-3811107, or
- **Your IRA Tax ID**

### SUBSCRIBER ADDRESS

Your subscription agreement may ask for the subscriber address or the beneficiary owner's address.

- **Subscriber mailing address:**  
Pacific PremierTrust  
Processing Center  
P.O. Box 981012  
Boston, MA 02298
- **Subscriber physical address:**  
Pacific Premier Trust  
1801 California St., Ste. 800  
Denver, CO 80202
- **Beneficial Owner address:**  
(Your address)

### EMAIL ADDRESS

For the asset sponsor to communicate with Pacific Premier Trust throughout the lifetime of your IRA investment, you will need to provide the sponsor with our email address, along with your own.

- **Pacific PremierTrust email address:**  
assetmaintenance@PacificPremierTrust.com

### WIRE INSTRUCTIONS FOR DIVIDENDS AND/OR LIQUIDATIONS

In the event of a dividend payout from your private equity investment, or a full or partial asset liquidation, the cash proceeds from the transaction must be returned to your IRA. Once we receive the funds, they will post to your IRA account as instructed. If your subscription agreement asks for bank information, you will need to reference Pacific Premier Trust's bank account.

- **Wells Fargo Bank**  
ABA No.: 121000248  
Account No.: 4268601440  
FFC: (Client Name and Account Number)

### SIGNATURE & APPROVAL

Investors must acknowledge each page of the subscription agreement by writing "Read & Approved" alongside their signature or initials. Once Pacific Premier Trust receives the executed subscription documents, we will sign on behalf of the IRA and forward the executed documents to the private equity firm upon funding.

### QUESTIONS?

Contact our Client Services team  
Toll Free: 800.962.4238  
clients@PacificPremierTrust.com

Pacific Premier Trust performs the duties of an independent custodian of assets for self-directed individual and business retirement accounts and does not provide investment advice, sell investments or offer any tax or legal advice. Clients or potential clients are advised to perform their own due diligence in choosing any investment opportunity as well as selecting any professional to assist them with an investment opportunity.

NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.